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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/028,657	
	Filing Date	December 21, 2001	
	First Named Inventor	Vlad J. Novotny	
	Group Art Unit	Unknown	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	3	Attorney Docket Number	A0-001-10

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply, etc.)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (Please identify below):
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	* 1 page PTO-1449 form containing document and a copy of the document.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Arthur J. Behiel
Signature	<i>Arthur J. Behiel</i>
Date	January 29, 2002

CERTIFICATE OF MAILING	
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Signature	<i>Laurie Moreno</i>
Date	1.29.02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vlad J. Novotny
Assignee: Active Optical Networks, Inc.
Title: "PATTERN-TRANSFER PROCESS FOR FORMING MICROELECTRO-MECHANICAL STRUCTURE"
Serial No.: 10/028,657 Filed: December 21, 2001
Examiner: Unknown Tel: Unknown
Docket No.: AO-001-1D Art Unit: Unknown

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicant brings the 1 document listed on the enclosed PTO-1449 form to the Examiner's attention in the above-captioned application. This document was previously cited on the PTO-1449 form (page 4 of 4, line BF) sent in with the original application filing dated December 21, 2001. At the time the source date was unknown. We have since contacted the author and have been informed the originating date is February 1999. The PTO-1449 Citation of the listed document shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to: Box DD, Commissioner for Patents, Washington, D.C. 20231, on January 29, 2002.

January 29, 2002
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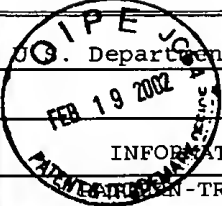
Laurie Moreno

Respectfully submitted,

Arthur J. Behiel
Attorney for Applicants
Reg. No. 39,603

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Sheet 1 of 1

 U.S. Department of Commerce, Patent and Trademark Office						Serial No.: 10/028,657	
						Filing Date: 12/21/01	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Inventors: Vlad J. Novotny	
ELECTRON-TRANSFER PROCESS FOR FORMING MICRO-ELECTRO-MECHANICAL STRUCTURE''						Group Art Unit: Unknown	
						Examiner Name: Unknown	
						Attorney Docket No.: AO-001-1D	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date, If Appropriate
	AA						
	AB						
Foreign Patent Documents							
							Translation
		Document Number	Date	Country	Class	Subclass	Yes No
	AC						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AD	D.J. Bishop Presentation, "Silicon Micromachines for Lightwave Networks: Can Little Machines Make it Big?" Lucent Technologies, Bell Labs Innovations. 83 pages. February 1999.					
	AE						
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Examiner				Date Considered			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.							

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